

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT****(Not for submission under 37 CFR 1.99)**

Application Number		10687783
Filing Date		2003-10-17
First Named Inventor	Heuser	
Art Unit	3738	
Examiner Name	Suzette Jaime J. Gherbi	
Attorney Docket Number	HEU 309	

U.S. PATENTS[Remove](#)

Examiner Initial*	Cite No	Patent Number	Kind Code ¹	Issue Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
	1	2729211		1956-01-03	Peter	
	2	3751305		1973-08-07	Huebscher	
	3	3788318		1974-01-29	Kim et al.	
	4	3828770		1974-08-13	Kurs et al.	
	5	3828782		1974-08-13	Polin	
	6	4000739		1977-01-04	Stevens	
	7	4241289		1980-12-23	Bowling	
	8	4445892		1984-05-01	Hussein et al.	

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Not for submission under 37 CFR 1.99)

Application Number	10687783
Filing Date	2003-10-17
First Named Inventor	Heuser
Art Unit	3738
Examiner Name	Suzette Jaime J. Gherbi
Attorney Docket Number	HEU 309

9	4590669		1986-05-27	Imamura	
10	4634432		1987-01-06	Kocak	
11	4637814		1987-01-20	Leiboff	
12	4650466		1987-03-17	Luther	
13	4650472		1987-03-17	Bates	
14	4682981		1987-07-28	Suzuki et al.	
15	4705511		1987-11-10	Kocak	
16	4706671		1987-11-17	Weinrib	
17	4744364		1988-05-17	Kensey	
18	4771777		1988-09-20	Horzewski et al.	
19	4772258		1988-09-20	Marangoni et al.	

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Not for submission under 37 CFR 1.99)

Application Number		10687783
Filing Date		2003-10-17
First Named Inventor	Heuser	
Art Unit	3738	
Examiner Name	Suzette Jaime J. Gherbi	
Attorney Docket Number	HEU 309	

20	4796640		1989-01-10	Webler	
21	4832688		1989-05-23	Sagae et al.	
22	4862891		1989-09-05	Smith	
23	4874378		1989-10-17	Hillstead	
24	4883460		1989-11-28	Zanetti	
25	4895564		1990-01-23	Farrell	
26	4911163		1990-05-27	Fina	
27	4950257		1990-08-21	Hibbs et al.	
28	4994071		1991-02-19	MacGregor	
29	5092846		1992-03-03	Nishijima et al.	
30	5112310		1992-05-12	Grobe	

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Not for submission under 37 CFR 1.99)

Application Number		10687783
Filing Date		2003-10-17
First Named Inventor	Heuser	
Art Unit	3738	
Examiner Name	Suzette Jaime J. Gherbi	
Attorney Docket Number	HEU 309	

31	5147336		1992-09-15	Wendell et al.	
32	5176144		1993-01-05	Yoshikoshi et al.	
33	5183470		1993-02-02	Wettermann	
34	5199939		1993-04-06	Dake et al.	
35	5207228		1993-05-04	Roelandt et al.	
36	5213417		1993-05-25	Yamada et al.	
37	5217019		1993-06-08	Hughes	
38	5217484		1993-06-08	Marks	
39	5234437		1993-08-10	Sepetka	
40	5242410		1993-09-07	Melker	
41	5256141		1993-10-26	Gencheff et al.	

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Not for submission under 37 CFR 1.99)

Application Number		10687783
Filing Date		2003-10-17
First Named Inventor	Heuser	
Art Unit	3738	
Examiner Name	Suzette Jaime J. Gherbi	
Attorney Docket Number	HEU 309	

42	5256158		1993-10-26	Tolkoff et al.	
43	5257979		1993-11-02	Jagpall	
44	5261878		1993-11-16	Galindo	
45	5267966		1993-12-07	Paul	
46	5275488		1994-01-04	Stelts	
47	5281793		1994-01-25	Gavin et al.	
48	5290310		1994-03-01	Makower et al.	
49	5292311		1994-03-08	Cope	
50	5320617		1994-06-14	Leach	

If you wish to add additional U.S. Patent citation information please click the Add button.

Add

U.S.PATENT APPLICATION PUBLICATIONS

Remove

Examiner Initial*	Cite No	Publication Number	Kind Code ¹	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
-------------------	---------	--------------------	------------------------	------------------	---	--

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)

Application Number	10687783
Filing Date	2003-10-17
First Named Inventor	Heuser
Art Unit	3738
Examiner Name	Suzette Jaime J. Gherbi
Attorney Docket Number	HEU 309

1					
---	--	--	--	--	--

If you wish to add additional U.S. Published Application citation information please click the Add button [Add](#)

FOREIGN PATENT DOCUMENTS

[Remove](#)

Examiner Initial*	Cite No	Foreign Document Number ³	Country Code ² j	Kind Code ⁴	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear	T ⁵
	1							<input type="checkbox"/>

If you wish to add additional Foreign Patent Document citation information please click the Add button [Add](#)

NON-PATENT LITERATURE DOCUMENTS

[Remove](#)

Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	T ⁵
	1		<input type="checkbox"/>

If you wish to add additional non-patent literature document citation information please click the Add button [Add](#)

EXAMINER SIGNATURE

Examiner Signature	Date Considered
--------------------	-----------------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ See Kind Codes of USPTO Patent Documents at www.USPTO.GOV or MPEP 901.04. ² Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). ³ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁴ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁵ Applicant is to place a check mark here if English language translation is attached.

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Not for submission under 37 CFR 1.99)

Application Number	10687783
Filing Date	2003-10-17
First Named Inventor	Heuser
Art Unit	3738
Examiner Name	Suzette Jaime J. Gherbi
Attorney Docket Number	HEU 309

CERTIFICATION STATEMENT

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

☐ That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

OR

☐ That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

- ☐ See attached certification statement.
- ☒ Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.
- ☒ None

SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/s/ Peter E. Heuser	Date (YYYY-MM-DD)	2007-05-31
Name/Print	Peter E. Heuser	Registration Number	27902

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these records.
2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.